

MAIL STOP PCT

Docket No. 292214US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Mitsuo WADA, et al.

SERIAL NO: 10/591,767

GAU:

FILED: September 1, 2006

EXAMINER:

FOR: PHTHALOCYANINE COMPOSITION, AND PHOTOCONDUCTIVE MATERIAL, ELECTROPHOTOGRAPHIC PHOTORECEPTOR, ELECTROPHOTOGRAPHIC PHOTORECEPTOR CARTRIDGE, AND IMAGE-FORMING APPARATUS EACH EMPLOYING THE COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to correct the publication date of the reference AN on the attached form PTO-1449 which was previously filed on November 29, 2006. Copies of the listed references were previously filed.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.

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Registration No. 24,618

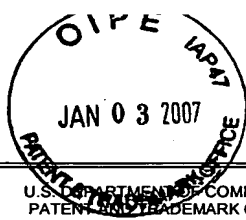
Customer Number

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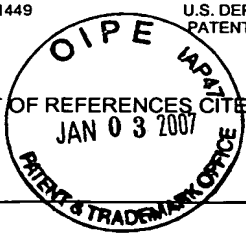
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(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423



|  |    |  |          |                                  |                            |   |                               |
|--|----|--|----------|----------------------------------|----------------------------|---|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>292214US0PCT  |                            | SERIAL NO.<br>10/591,767  |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |          | APPLICANT<br>Mitsuo WADA, et al. |                            |   |                               |
|  |    |  |          | FILING DATE<br>September 1, 2006 |                            | GROUP   |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |          |                                  |                            |   |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE     | NAME                             | CLASS                      | SUB<br>CLASS  | FILING DATE<br>IF APPROPRIATE |
|  | AA | 3 357 989  | 12-12-67 | BYRNE, John F.                   |                            |   |                               |
|  | AB |  |          |                                  |                            |   |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |          |                                  |                            |   |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                          | TRANSLATION<br>YES      NO |   |                               |
|  | AC | 6 212089   | 08-02-94 | JP (with English abstract)       |                            |   | NO                            |
|  | AD | 9 258466   | 10-03-97 | JP (equivalent of US 5 759 726)  |                            |   | NO                            |
|  | AE | 62 67094   | 03-26-87 | JP (with English abstract)       |                            |   | NO                            |
|  | AF | 5 98181  | 04-20-93 | JP (with English abstract)       |                            |   | NO                            |
|  | AG | 5 263007   | 10-12-93 | JP (equivalent of US 5 302 479)  |                            |   | NO                            |
|  | AH | 10 67946   | 03-10-98 | JP (with English abstract)       |                            |   | NO                            |
|  | AI | 9 120171   | 05-06-97 | JP (equivalent of US 5 534 376)  |                            |   | NO                            |
|  | AJ | 10 48859   | 02-20-98 | JP (with English abstract)       |                            |   | NO                            |
|  | AK | 2000 336283  | 12-05-00 | JP (with English abstract)       |                            |   | NO                            |
|  | AL | 4 372663   | 12-25-92 | JP (equivalent of US 5 292 604)  |                            |   | NO                            |
|  | AM | 2000 313819  | 11-14-00 | JP (with English abstract)       |                            |   | NO                            |
|  | AN | 2002 244321  | 08-30-02 | JP (with English abstract)       |                            |   | NO                            |
|  | AO | 6 175382   | 06-24-94 | JP (with English abstract)       |                            |   | NO                            |
|  | AP | 5 45914  | 02-26-93 | JP (equivalent of US 5 302 710)  |                            |   | NO                            |
|  | AQ | 61 217050  | 09-26-96 | JP (with English abstract)       |                            |   | NO                            |
|  | AR | 61 239248  | 10-24-86 | JP (with English abstract)       |                            |   | NO                            |
|  | AS | 1 207755   | 08-21-89 | JP (with English abstract)       |                            |   | NO                            |
|  | AT | 4 323270   | 11-12-92 | JP (equivalent of US 5 593 805)  |                            |   | NO                            |
|  | AU | 6 287189   | 10-11-94 | JP (with English abstract)       |                            |   | NO                            |
|  | AV | 2 8256   | 01-11-90 | JP (with English abstract)       |                            |   | NO                            |
|  | AW | 2 289658   | 11-29-90 | JP (with English abstract)       |                            |   | NO                            |
|  | AX | 7 271073   | 10-20-95 | JP (with English abstract)       |                            |   | NO                            |
|  | AY | 3 128973   | 05-31-91 | JP (equivalent of US 5 132 197)  |                            |   | NO                            |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |  |          |                                  |                            |   |                               |
|  | AZ |  |          |                                  |                            | <input checked="" type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner   |    |  |          |                                  | Date Considered            |   |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |          |                                  |                            |   |                               |

|  |     |  |          |                                  |                 |  |                               |
|--|-----|--|----------|----------------------------------|-----------------|--|-------------------------------|
| Form PTO 1449<br>(Modified)  |     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>292214US0PCT  |                 | SERIAL NO.<br>10/591,767   |                               |
|   |     |  |          | APPLICANT<br>Mitsuo WADA, et al. |                 |  |                               |
|  |     |  |          | FILING DATE<br>September 1, 2006 |                 | GROUP  |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |     |  |          |                                  |                 |  |                               |
| EXAMINER<br>INITIAL  |     | DOCUMENT<br>NUMBER   | DATE     | NAME                             | CLASS           | SUB<br>CLASS   | FILING DATE<br>IF APPROPRIATE |
|  | AAA |  |          |                                  |                 |  |                               |
|  | AAB |  |          |                                  |                 |  |                               |
|  | AAC |  |          |                                  |                 |  |                               |
|  | AAD |  |          |                                  |                 |  |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |     |  |          |                                  |                 |  |                               |
|  |     | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                          | TRANSLATION     |  |                               |
|  |     |  |          |                                  | YES             | NO   |                               |
|  | AAE | 1 221459   | 09-04-89 | JP (with English abstract)       |                 | NO   |                               |
|  | AAF | 11 172142  | 06-29-99 | JP (with English abstract)       |                 | NO   |                               |
|  | AAG | 6 279698   | 10-04-94 | JP (equivalent of US 5 508 395)  |                 | NO   |                               |
|  | AAH | 2002 235014  | 08-23-02 | JP (with English abstract)       |                 | NO   |                               |
|  | AAI | 10 88023   | 04-07-98 | JP (equivalent of US 5 910 384)  |                 | NO   |                               |
|  | AAJ | 2000 219817  | 08-08-00 | JP (with English abstract)       |                 | NO   |                               |
|  | AAK | 4 351673   | 12-07-92 | JP (with English abstract)       |                 | NO   |                               |
|  | AAL | 5 186702   | 07-27-93 | JP (equivalent of US 5 336 578)  |                 | NO   |                               |
|  | AAM | 6 234937   | 08-23-94 | JP (with English abstract)       |                 | NO   |                               |
|  | AAN | 8 41373  | 02-13-96 | JP (with English abstract)       |                 | NO   |                               |
|  | AAO | 6 145550   | 05-24-94 | JP (with English abstract)       |                 | NO   |                               |
|  | AAP | 3 9962   | 01-17-91 | JP (equivalent of US 5 213 929)  |                 | NO   |                               |
|  | AAQ | 2002 251026  | 09-06-02 | JP (with English abstract)       |                 | NO   |                               |
|  | AAR |  |          |                                  |                 |  |                               |
|  | AAS |  |          |                                  |                 |  |                               |
|  | AAT |  |          |                                  |                 |  |                               |
|  | AAU |  |          |                                  |                 |  |                               |
|  | AAV |  |          |                                  |                 |  |                               |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |     |  |          |                                  |                 |  |                               |
|  | AAW |  |          |                                  |                 |  |                               |
|  | AAX |  |          |                                  |                 |  |                               |
|  | AAY |  |          |                                  |                 |  |                               |
|  | AAZ |  |          |                                  |                 | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner   |     |  |          |                                  | Date Considered |  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |     |  |          |                                  |                 |  |                               |

U.S. PCT Application Serial No: 10/591,767

Filed: September 1, 2006

Mitsuo WADA, et al.

Docket No. 292214 US

#### STATEMENT OF RELEVANCY

- 1) References AC - AD have been cited in the International Search Report. A copy of these references were previously filed.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AE-AY and AAE - AAQ are discussed in the specification. A copy of these references were previously filed.
- 4) References            are additional prior art known to Applicant. A copy of these references is being submitted herewith.